

Class of Instrument	Debt				
	Bonds				
Notification if <1 average trade per business day in the previous year (Y/N)	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
RIA Capital Markets Limited LEI: 213800OZO2PQP43WCZ92	59.2%	60.4%	N/a	100.0%	N/a
Zurcher Kantonalbank LEI: 165GRDQ39W63PHVONY02	12.0%	17.1%	N/a	100.0%	N/a
Bridport & Co (Jersey) Ltd LEI: 2138001S6PWXXBKKX9S40	8.9%	5.6%	N/a	100.0%	N/a
Credit Suisse Securities (Europe) Limited LEI: DL6FFRRLF74S01HE2M14	6.8%	8.4%	N/a	100.0%	N/a
Guy Butler Limited LEI: 213800AG2H8MB5FGJ538	5.2%	2.4%	N/a	100.0%	N/a

Definitions:

"Volume Traded" The market value of all orders in that asset class, placed with a specific broker/venue
 "Orders Executed" Deals in a specific stock with a specific broker/venue.

Further information

RTS 28 requires firms to publish the top five execution venues per class of financial instruments.

For equities this is required by tick size liquidity bands 1 and 2 (from 0 to 79 trades per day); 3 and 4 (from 80 to 1999 trades per day); and bands 5 and 6 (from 2000 trades per day), which are provided in three separate tables.

Class of Instrument	Debt				
	Money Market Instrument				
Notification if <1 average trade per business day in the previous year (Y/N)	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Credit Suisse Securities (Europe) Limited LEI: DL6FFRRLF74S01HE2M14	72.7%	21.9%	N/a	100.0%	N/a
RIA Capital Markets Limited LEI: 213800OZO2PQP43WCZ92	27.3%	78.1%	N/a	100.0%	N/a

Definitions:

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Further information

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Class of Instrument	Equity Derivatives				
	Future				
Notification if <1 average trade per business day in the previous year (Y/N)	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Eurex Deutschland MIC: XEUR	68.2%	51.7%	3.7%	96.3%	N/a
Euronext Paris MONEP MIC: XMON	29.9%	48.1%	N/a	100.0%	N/a
CBOE Futures Exchange MIC: XCBF	1.9%	0.2%	N/a	100.0%	N/a

Definitions:

"Volume Traded"

The market value of all orders in that asset class, placed with a specific broker or venue.

"Orders Executed"

Deals in a specific stock with a specific venue/broker.

Further information

RTS 28 requires firms to publish the top five execution venues per class of financial instruments.

For equities this is required by tick size liquidity bands 1 and 2 (from 0 to 79 trades per day); 3 and 4 (from 80 to 1999 trades per day); and bands 5 and 6 (from 2000 trades per day), which are provided in three separate tables.

Class of Instrument	Equities - Shares & Depositary Receipts				
	Tick size liquidity bands 5 and 6				
Notification if <1 average trade per business day in the previous year (Y/N)	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
NASDAQ - All Markets	70.9%	66.9%	4.6%	95.6%	N/a
MIC: XNAS					
New York Stock Exchange	18.6%	9.9%	1.0%	99.0%	N/a
MIC: XNYS					
XETRA	5.2%	5.4%	N/a	100.0%	N/a
MIC: XDWZ					
NYSE Arca (ARCA)	3.4%	1.6%	N/a	100.0%	N/a
MIC: ARCX					
Euronext Paris (Euronext)	0.7%	1.3%	N/a	100.0%	N/a
MIC: XPAR					

Definitions:

"Volume Traded"

The market value of all orders in that asset class, placed with a specific broker/venue.

"Orders Executed"

Deals in a specific stock with a specific broker/venue.

Further information

RTS 28 requires firms to publish the top five execution venues per class of financial instruments.

For equities this is required by tick size liquidity bands 1 and 2 (from 0 to 79 trades per day); 3 and 4 (from 80 to 1999 trades per day); and bands 5 and 6 (from 2000 trades per day), which are provided in three separate tables.

Class of Instrument	Securitized Derivatives - Warrants and Certificate Derivatives				
	Warrant				
Notification if <1 average trade per business day in the previous year (Y/N)	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
New York Stock Exchange	100.0%	100.0%	N/a	100.0%	N/a
MIC: XNYS					

Definitions:

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Further information

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For equities this is required by tick size liquidity bands 1 and 2 (from 0 to 79 trades per day); 3 and 4 (from 80 to 1999 trades per day); and bands 5 and 6 (from 2000 trades per day), which are provided in three separate tables.